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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/733,608 | 12/11/2003 | Raja Bala | D/A1453 8506 | |
| 25453 7590 05/12/2009 PATENT DOCUMENTATION CENTER XEROX CORPORATION 100 CLINITON AME SOLUTION | | | EXAMINER | |
| | | | CHENG, PETER L | |
| 100 CLINTON AVE SOUTH MAILSTOP: XRX2-020 | | ART UNIT | PAPER NUMBER | |
| ROCHESTER, NY 14644 | | | 2625 | |
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| | | | NOTIFICATION DATE | DELIVERY MODE |
| | | | 05/12/2009 | ELECTRONIC |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

usa.ogc.docket@xerox.com OfficeAction@xerox.com

| | Application No. | Applicant(s) | | | | | |
|--|--|---|--|--|--|--|--|
| | 10/733,608 | BALA, RAJA | | | | | |
| Office Action Summary | Examiner | Art Unit | | | | | |
| | PETER L. CHENG | 2625 | | | | | |
| The MAILING DATE of this communication app Period for Reply | ears on the cover sheet with the c | orrespondence address | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be time will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | Lely filed the mailing date of this communication. (35 U.S.C. § 133). | | | | | |
| Status | | | | | | | |
| 1) Responsive to communication(s) filed on 22 De | ecember 2008 | | | | | | |
| | _ · · · · · · · · · · · · · · · · · · · | | | | | | |
| <i>i</i> — | - | | | | | | |
| | closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Disposition of Claims | | | | | | | |
| 4)⊠ Claim(s) <u>1,3-7,9-12,14-18 and 20-22</u> is/are pen | iding in the application. | | | | | | |
| | 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | | |
| 6) Claim(s) <u>1,3-7,9-12,14-18,20-22</u> is/are rejected | · <u> </u> | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | | |
| 8) Claim(s) are subject to restriction and/or | election requirement. | | | | | | |
| Application Papers | · | | | | | | |
| · · · <u> </u> | | | | | | | |
| 9) The specification is objected to by the Examiner. | | | | | | | |
| | 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. | | | | | | | |
| , , | anniner. Note the attached Office | Action of format 10-102. | | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | | |
| 12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list of | s have been received. s have been received in Applicati ity documents have been receive (PCT Rule 17.2(a)). | on No ed in this National Stage | | | | | |
| Attachment(s) | 4) 🗖 Interview Commence | /PTO 412) | | | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) | 4) ∐ Interview Summary Paper No(s)/Mail Da | | | | | | |
| 3) Information Disclosure Statement(s) (PTO/SB/08) | 5) 🔲 Notice of Informal P | | | | | | |
| Paper No(s)/Mail Date | 6) [] Other: | | | | | | |

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DETAILED ACTION

Claim Objections

- 1. Claim 1 is objected to because of the following informalities:
 - Line 10: "the luminance compression function" lacks antecedent basis;
 suggest replacing with <u>a</u> luminance compression function;
 - Lines 17 18: suggest replacing wherein L_{comp1}(L_{in}) ... with either wherein said functions L_{comp1}(L_{in}) ..., or wherein the functions L_{comp1}(L_{in}) ...;
 - Lines 18 19: suggest replacing wherein L_{comp1}(L_{in}) ... with either wherein said functions L_{comp1}(L_{in}) ..., or wherein the functions L_{comp1}(L_{in}) ...;
 - Line 19: "the overall compression function" lacks antecedent basis; in claim 1, line 16, suggest adding , thereby, producing an overall compression function after a blending function α(L_f);
 - Line 20: suggest replacing the luminance dynamic range with <u>a</u> luminance dynamic range;

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Lines 20 - 21: suggest replacing the more limited dynamic range with <u>a</u>
 more limited <u>luminance</u> dynamic range;

- 2. Claim 3 is objected to because of the following informalities:
 - Line 1: suggest replacing wherein L_{out} ... with either wherein said function
 L_{out} ..., or wherein the function L_{out} ...;
- 3. Claim 4 is objected to because of the following informalities:
 - Line 1: suggest replacing wherein α(L_f) ... with either wherein said function
 α(L_f) ..., or wherein the function α(L_f) ...;
- 4. Claim 5 is objected to because of the following informalities:
 - Line 1: suggest replacing wherein function ... with either wherein said function ..., or wherein the function ...;
- 5. Claim 5 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. The limitation cited in claim 5 is also cited in **claim 1**, **lines 16 17**;
- 6. Claim 6 is objected to because of the following informalities:

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- Line 1: suggest replacing wherein function ... with either wherein said function ..., or wherein the function ...;
- 7. Claim 6 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. The limitation cited in claim 6 is also cited in **claim 1**, **line 17**;
- 8. Claim 7 is objected to because of the following informalities:
 - Line 2: suggest replacing α(L_f) = 0 ... with either said function α(L_f) is equal
 to 0 ..., or the function α(L_f) is equal to 0 ...;
 - Line 3: suggest replacing α(L_f) ... with either said function α(L_f) ..., or the function α(L_f) ...;
 - Line 4: suggest replacing α(L_f) = 1 ... with either said function α(L_f) is equal
 to 1 ..., or the function α(L_f) is equal to 1 ...;
- 9. Claim 12 is objected to because of the following informalities:
 - Lines 10 11: "the luminance compression function" lacks antecedent basis; suggest replacing with a luminance compression function;

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Line 17: suggest replacing wherein L_{comp1}(L_{in}) ... with either wherein <u>said</u>
 functions L_{comp1}(L_{in}) ..., or wherein <u>the functions</u> L_{comp1}(L_{in}) ...;

- Line 18: suggest replacing wherein L_{comp1}(L_{in}) ... with either wherein <u>said</u>
 functions L_{comp1}(L_{in}) ..., or wherein <u>the functions</u> L_{comp1}(L_{in}) ...;
- Line 19: "the overall compression function" lacks antecedent basis; in claim 12, line 15, suggest adding , thereby, producing an overall compression function after a blending function α(L_f);
- Lines 19 20: suggest replacing the luminance dynamic range with <u>a</u>
 luminance dynamic range;
- Line 20: suggest replacing the more limited dynamic range with <u>a</u> more
 limited <u>luminance</u> dynamic range;
- 10. Claim 14 is objected to because of the following informalities:
 - Line 1: suggest replacing wherein L_{comp1}(L_{in}) ... with either wherein <u>said</u>
 functions L_{comp1}(L_{in}) ..., or wherein <u>the functions</u> L_{comp1}(L_{in}) ...;
- 11. Claim 15 is objected to because of the following informalities:

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Line 1: suggest replacing wherein α(L_f) ... with either wherein said function
 α(L_f) ..., or wherein the function α(L_f) ...;

- 12. Claim 16 is objected to because of the following informalities:
 - Line 1: suggest replacing wherein function ... with either wherein said
 function ..., or wherein the function ...;
- 13. Claim 16 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. The limitation cited in claim 16 is also cited in claim 12, lines 15 16;
- 14. Claim 17 is objected to because of the following informalities:
 - Line 1: suggest replacing wherein function ... with either wherein said
 function ..., or wherein the function ...;
- 15. Claim 17 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. The limitation cited in claim 17 is also cited in claim 12, lines 16 17;

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16. Claim 18 is objected to because of the following informalities:

Line 2: suggest replacing α(L_f) = 0 ... with either said function α(L_f) is equal
 to 0 ..., or the function α(L_f) is equal to 0 ...;

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- Line 3: suggest replacing α(L_f) ... with either said function α(L_f) ..., or the function α(L_f) ...;
- Line 4: suggest replacing α(L_f) = 1 ... with either said function α(L_f) is equal
 to 1 ..., or the function α(L_f) is equal to 1 ...;

Appropriate correction is required.

Claim Rejections - 35 USC § 101

17. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

In addition, a § 101 process must (1) be tied to another statutory class (a particular machine or apparatus) or (2) transform underlying subject matter (such as an article or materials) to a different state or thing. If neither of these requirements is met by the claim, the method is not a patent eligible process under § 101 and should be rejected as being directed to non-statutory subject matter.

An example of a method claim that would <u>not</u> qualify as a statutory process would be a claim that recited purely mental steps. Thus, to qualify as a § 101 statutory process, the claim *should* <u>positively recite</u> the other statutory class (the thing or product) to which it is tied, *for example* by <u>identifying the</u>

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<u>apparatus</u> that accomplishes the method steps, or positively recite the subject matter that is being transformed, *for example* by identifying the material that is being changed to a different state.

"Identifying the apparatus" requires that the process claim explicitly recite the particular machine or apparatus, or recite a step that inherently involves the use of a particular machine or apparatus.

The definition of an "inherent tie" is as follows:

The step requires a particular machine or apparatus such that the step cannot be performed mentally or manually in a manner that reasonably accomplishes the intended purpose of the recited invention, as claimed, without the use of a structure.

Claim 12 is rejected under 35 U.S.C. 101 because the claimed invention (i.e., a *method* for *luminance dynamic range mapping*) is not tied to another statutory class.

Dependent claims 14 – 18 and 20 - 22 are similarly rejected.

Claim Rejections - 35 USC § 112

18. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

19. Claims 1 and 12 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Specifically, the limitation, spatially adapting the luminance compression function according to local image characteristics in

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such a manner as to preserve both shadow detail and overall image contrast, in the as-filed specification, page 6, paragraph 23, was not properly described.

That is, the current claims do not specify and the specification does not appear to teach how compression functions $L_{comp1}(L_{in})$ and $L_{comp2}(L_{in})$ differ in relation to the breakpoints B_1 and B_2 for blending function, $\alpha(L_f)$.

For instance, if $L_{comp1}(L_{in})$ and $L_{comp2}(L_{in})$ were identical except in the shadow region (i.e., region 1), <u>and</u> breakpoints B_1 and B_2 were located in the midtone or highlight regions, the resulting luminance compression function L_{out} would be identical to the (prior art) "inverse-gamma-inverse function" adjusted at the black point, $L_{comp2}(L_{in})$.

Dependent claims 1, 3 -7, 9 - 12, 14 - 18 and 20 - 22 are similarly rejected.

Claim Rejections - 35 USC § 112

- 20. The following is a quotation of the second paragraph of 35 U.S.C. 112:
 - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 21. Claims **1** and **12** are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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Specifically, the limitations, wherein the low pass filter is <u>small enough</u> that shadow regions are passed through as low luminance, and <u>large enough</u> to filter out detail in high-contrast regions, are indefinite because the terms "small enough" and "large enough" are relative terms. Presumably, the characteristics of the low pass filter are dependent upon the input image.

Dependent claims 1, 3 -7, 9 – 12, 14 – 18 and 20 - 22 are similarly rejected.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Peter L. Cheng whose telephone number is 571-270-3007. The examiner can normally be reached on MONDAY - FRIDAY, 8:30 AM - 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, King Y. Poon can be reached on 571-272-7440. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/King Y. Poon/ Supervisory Patent Examiner, Art Unit 2625

/plc/ April 27, 2009